

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/007,140	11/05/2001	Lyn Hughes	A01317	1934
21898	7590 08/29/2006		EXAMINER	
	D HAAS COMPANY	LUDLOW, JAN M		
	EPARTMENT ENDENCE MALL WEST		ART UNIT	PAPER NUMBER
PHILADELE	HIA, PA 19106-2399		1743	
			DATE MAILED: 08/29/200	6

Please find below and/or attached an Office communication concerning this application or proceeding.

|--|

## Advisory Action Before the Filing of an Appeal Brief

Application No.	Applicant(s)	
10/007,140	HUGHES, LYN	
Examiner	Art Unit	
Jan M. Ludlow	1743	

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address -THE REPLY FILED 16 August 2006 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

1. X The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment

THE REFET FILED TO AUGUST 2000 FAILS TO FLACE THIS AFFEIGATION IN CONDITION FOR ALLOWANCE.
1. The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) in the first of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3)
a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following
time periods:
a) The period for reply expiresmonths from the mailing date of the final rejection.
b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.  Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN
TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).
Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely file
may reduce any earned patent term adjustment. See 37 CFR 1.704(b).
NOTICE OF APPEAL
2. The Notice of Appeal was filed on A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).
AMENDMENTS
3. The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because
(a) They raise new issues that would require further consideration and/or search (see NOTE below);
(b) They raise the issue of new matter (see NOTE below);
(c) ☐ They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
(d) ☐ They present additional claims without canceling a corresponding number of finally rejected claims.
NOTE: See Continuation Sheet. (See 37 CFR 1.116 and 41.33(a)).
4. The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).
5. Applicant's reply has overcome the following rejection(s):
6. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).
7. For purposes of appeal, the proposed amendment(s): a) will not be entered, or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.  The status of the claim(s) is (or will be) as follows:
Claim(s) allowed:
Claim(s) objected to:
Claim(s) rejected: <u>19-25</u> .
Claim(s) withdrawn from consideration:
AFFIDAVIT OR OTHER EVIDENCE
8. The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will <u>not</u> be entered
because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary an was not earlier presented. See 37 CFR 1.116(e).
9. The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).
10. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.  REQUEST FOR RECONSIDERATION/OTHER
11.   The request for reconsideration has been considered but does NOT place the application in condition for allowance because:  See Continuation Sheet.
12. Note the attached Information Disclosure Statement(s). (PTO/SB/08 or PTO-1449) Paper No(s).

Jan M. Ludlow Primary Examiner Art Unit: 1743

13. Other: \_\_\_\_\_.

## **Continuation Sheet (PTO-303)**

**Application No. 10/007,140** 

Continuation of 3. NOTE: In claim 19, part f "perfoming an in vitro dissolution test by" is a new issue because it is not clear what actual method step or limitation is being claimed.

Continuation of 11. does NOT place the application in condition for allowance because: Applicant argues that the instant specification sufficiently distinguishes buccal dissolution testing from GI dissolution. This is not persuasive because on page 1, applicant states that in buccal dissolution, incomplete dissoultion, short times and particle removal distinguish from GI testing, but the art applied by the examiner teaches incomplete dissoultion and removal of particles from the test chamber, and there is no limitation to the duration of testing. There are no limitations to the claim which define over the prior art method other than calling the method by the name "buccal dissolution testing." What exactly is applicant trying to claim to distinguish over the prior art?.